



BUSINESS WITHOUT CORRUPTION: U.S. ANTI-CORRUPTION BEST PRACTICES TAILORED TO THE NEEDS OF THE ROMANIAN BUSINESS ENVIRONMENT

CCIR Business Center, Aurel Ghibuțiu Hall, March 7-8, 2017

AGENDA

DAY 1, MARCH 7, 2017

10:15 - 11:00	Registration
	Keynote speakers:
	Mihai Daraban , President, Chamber of Commerce and Industry of Romania
	H.E. Hans Klemm , U.S. Ambassador to Romania
11:00 - 11:45	Steven K. Saiget , Staff Vice-President - International Compliance, General Dynamics Corporation
	Alexandra Wrage , President and Founder, TRACE International
	Dr. Thomas Kauffmann , Vice-President International Business & Services, General Dynamics European Land Systems - Mowag
11:45 - 13:00	Lunch and networking (at nearby location next to Ghibuțiu hall)
	Topic 1: Anti-Bribery Basics: International Standards and Regulations
13:00 - 14:30	<ul style="list-style-type: none">▪ Anti-Bribery Laws and International Conventions▪ Areas of Risk and Cost of Non-Compliance▪ Compliance Lessons from Recent Cases
	Topic 2: Development of a Compliance Program and Culture
14:30 - 15:45	<ul style="list-style-type: none">▪ Framework of Corporate Compliance▪ Elements of a Robust Anti-Corruption Compliance Program<ul style="list-style-type: none">○ Top level commitment○ Risk assessments○ Due diligence○ Training and internal communications○ Monitoring and review○ Documentation▪ Code of Ethics / Conduct▪ Confidential Reporting Policy and Procedures▪ Innovations to Efficiently Allocate and Use Resources▪ The Business Case for Compliance

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15:45 - 16:00	Networking break
16:00 - 17:00	<p>Topic 3: Risk Assessment of Company's Exposure to Bribery and Corruption</p> <ul style="list-style-type: none"> ▪ Developing a Risk Assessment Approach Proportionate to Your Company's Size, Structure and Risk Profile ▪ Risk Factors (i.e. Company Size, Structure, Industry, Geography, etc.) ▪ Verifying and Collecting Information ▪ Examples of Risk Assessment Questions ▪ Documenting and Standardizing the Risk Assessment Process
17:00	Conclusion of day 1 of the workshop
DAY 2, MARCH 8, 2017	
10:00 - 11:00	<p>Topic 4: Use of Third Parties and Due Diligence Process</p> <ul style="list-style-type: none"> ▪ Risks Associated with Third Parties ▪ Where to Begin? Third Party Risk Sorting ▪ Third Party Red Flags and Deal Breakers ▪ Scope of a Robust Third Party Review ▪ Managing Third Party Relationships
11:00 - 12:00	<p>Topic 5: Anti-Bribery Compliance Training & Communications</p> <ul style="list-style-type: none"> ▪ Specific Policy Regarding Employee Anti-Corruption Compliance Training ▪ Designated Operating Functions Requiring Anti-Corruption Training ▪ Types of Compliance Training Programs That Can Be Used ▪ Enhanced Compliance Training and Guidance
12:00 - 14:00	Lunch and networking (at nearby location next to Ghibuțiu hall)
14:00 - 15:00	<p>Topic 6: Addressing Common Compliance Challenges</p> <ul style="list-style-type: none"> ▪ Policy Roll-Out ▪ Management Message/"Tone at the Top" ▪ Gifts & Hospitality ▪ Facilitating Payments and Extortionate Demands
15:00 - 16:00	<p>Topic 7: Continuous Improvement: Periodic Testing and Review</p> <ul style="list-style-type: none"> ▪ Establishing Methods and Measures to Evaluate Compliance Program Performance ▪ Identifying and Addressing Compliance Program Weaknesses ▪ Determining Appropriate Follow-up
16:00	Conclusion of the workshop

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